

IF-4

CF-86

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

PATENT APPLICATION

Applicants : Howard W. Lutnick et al.  
Application No.: 10/699,858 Confirmation No.: 5127  
Filed : October 31, 2003  
For : KEYBOARD TRADING SYSTEM  
Group Art Unit : 2661

New York, New York  
October 26, 2004

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

TRANSMITTAL LETTER FOR SECOND  
SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

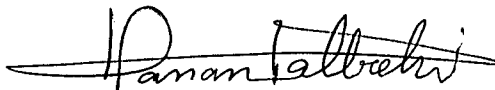
Transmitted herewith is a Second Supplemental Information Disclosure Statement in the above-identified application. This Statement is submitted:

- ☐ within three months of the application filing date;
- ☒ more than three months from the application filing date but before the mailing date of the first Office Action on the merits.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /P.S./

In accordance with 37 C.F.R. § 1.97, submission of this Statement requires no fee. However, if for any reason a fee is due, the Director is hereby authorized to charge payment of any fees required in connection with this Supplemental Information Disclosure Statement to Deposit Account No. 06-1075. A duplicate copy of this letter is transmitted herewith.

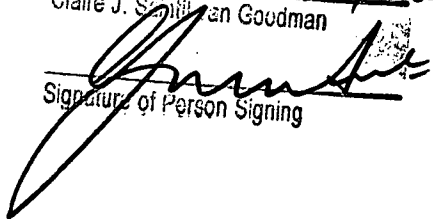
Respectfully submitted,

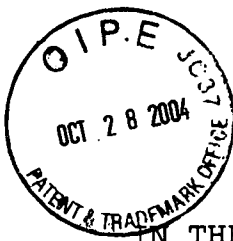


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P.O. Box 1450  
Alexandria, VA 22313-1450 on

October 26, 2004  
Claire J. Schmittman Goodman

  
Signature of Person Signing



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SECOND SUPPLEMENTAL INFORMATION DISCLOSURE STATEMENT

Sir:

In accordance with 37 C.F.R. §§ 1.56, 1.97 and 1.98, applicants wish to call the attention of the Examiner to the following document:

U.S. PATENT

Kramer                      5,038,284                      (08/06/91)

The aforementioned document is listed on the accompanying Form PTO-1449 (submitted in duplicate), and a copy of the document is enclosed herewith.

It is respectfully requested that this document be  
(1) fully considered by the Patent and Trademark Office during examination of this application; and (2) printed on any patent

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /P.S./

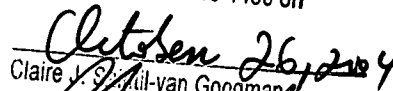
which may issue on this application. Applicants respectfully request that a copy of Form PTO-1449, as considered and initialed by the Examiner, be returned with the next communication.

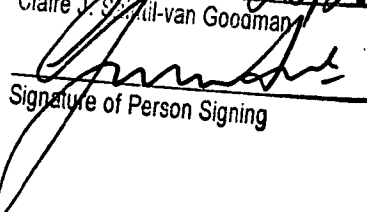
Respectfully submitted,



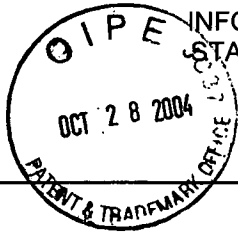
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FORM PTO-1449

U.S. DEPARTMENT OF COMMERCE  
PATENT AND TRADEMARK OFFICEATTY. DOCKET NO.  
CF-86APPLICATION  
NO.  
10/699,858INFORMATION DISCLOSURE  
STATEMENT BY APPLICANTAPPLICANTS  
Howard W. Lutnick et al.CONFIRMATION  
NO.  
5127FILING DATE  
October 31, 2003GROUP  
2661

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
/P.S./	5,038,284	08/06/91	Kramer	364	408	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
						YES	NO

## OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

EXAMINER INITIAL	

EXAMINER /Paul Shumate/

DATE CONSIDERED 02/19/2008

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not conformance and not considered. Include copy of this form with next communication to applicant.

ALL REFERENCES CONSIDERED EXCEPT WHERE LINED THROUGH. /P.S./